

U.S. Application No.  
WO99/35499

International Application No.  
PCT/BE98/00206

Attorney Docket No.  
VANM160.001APC

Date: June 30, 2000

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**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371**

International Application No.: PCT/BE98/00206  
International Filing Date: 24 December 1998  
Priority Date Claimed: 30 December 1997  
Title of Invention: METHOD COMPRISING CAPTURE MOLECULE FIXED ON DISC  
SURFACE  
Applicant(s) for DO/EO/US: José REMACLE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
  - a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b) ☒ has been transmitted by the International Bureau.
  - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 USC 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
  - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b) ☐ have been transmitted by the International Bureau.
  - c) ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d) ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☒ A copy of the International Preliminary Examination Report with any annexes thereto, such as any amendments made under PCT Article 34.
11. ☒ A translation of the annexes, such as any amendments made under PCT Article 34, to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

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Page 2**Items 11. to 16. below concern other document(s) or information included:**

12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or address letter.
17. ☒ International Application as published (Cover Sheet Only).
18. ☐ Small Entity Statement.
19. ☒ PCT Form PCT/IPEA/402.
20. ☒ PCT Form PCT/IB/308.
21. ☐ PCT request form.
22. ☒ A return prepaid postcard.
23. ☒ The following fees are submitted:

				<b>FEES</b>
<b>BASIC FEE</b>				\$840
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	
Total Claims	34 - 20 =	14 ×	\$18	\$252
Independent Claims	4 - 3 =	1 ×	\$78	\$78
Multiple dependent claims(s) (if applicable)			\$260	\$0
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b><u>\$1,170</u></b>
Reduction by 1/2 for filing by small entity (if applicable). Verified Small Entity statement must also be filed. (NOTE 37 CFR 1.9, 1.27, 1.28)				
<b>TOTAL NATIONAL FEE</b>				<b>\$1,170</b>
<b>TOTAL FEES ENCLOSED</b>				<b>\$1,170</b>
amount to be refunded:				\$
amount to be charged:				\$

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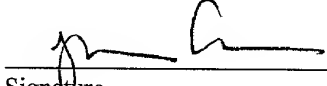
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24. (X) A check in the amount of \$1,170 to cover the above fees is enclosed.
25. () Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property.
26. (X) The Commissioner is hereby authorized to charge only those additional fees which may be required, now or in the future, to avoid abandonment of the application, or credit any overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

KNOBBE, MARTENS, OLSON & BEAR, LLP  
620 Newport Center Drive  
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Signature

Thomas R. Arno  
Printed Name

40,490  
Registration Number

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PATENT

VANM160.001APC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Applicant : José REMACLE

U.S. Serial No.: Unassigned

U.S. Filing Date: Concurrently herewith

International Publication No. : WO99/35499

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derived from PCT/BE98/00206

International Filing Date : 24 December 1998

For : METHOD COMPRISING  
CAPTURE MOLECULE  
FIXED ON DISC SURFACE

Examiner : Unassigned

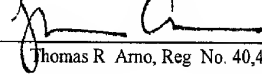
) Group Art Unit:

) Unassigned

) I hereby certify that this correspondence and all  
) marked attachments are being deposited with the  
) United States Postal Service as first-class mail in  
) an envelope addressed to: Assistant  
) Commissioner for Patents, Washington, D.C.  
) 20231, on

June 30, 2000

(Date)



Thomas R. Arno, Reg No. 40,490

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to examination on the merits, please amend the subject application as follows.

**IN THE SPECIFICATION**

Please amend the specification as follows.

At page 4bis, line 21, after "WO96/09548" insert --describes--;

At page 10, line 7, after "nothing" insert --more--;

At page 19, line 11, delete "molecule extremity," and insert therefor  
--molecule's extremities,--,

line 23, delete "address" and insert therefor --addressed--;

At page 21, line 6, delete "dimension axe" and insert therefor --dimensional axis--;  
At page 23, line 20, delete "limps" and insert therefor --lumps--;  
At page 25, line 1, delete "an"; and  
At page 30, line 16, delete the period after "It".

## IN THE CLAIMS

Please cancel existing claims 1-29 and add the following new claims 30-63:

--30. A method for the detection of a target molecule present in a sample, comprising the steps of:

allowing binding between said target molecule and a capture molecule fixed upon a side of the surface of a solid support, said solid support comprising a disc, wherein said binding results in a detectable signal, and wherein said disc comprises registered data located on areas separated from the areas where the signal is generated;

detecting said signal, wherein said signal is not obtained through cleavage of capture molecule, and

reading the registered information and reading the signal resulting from the binding between said target molecule and said capture molecule, said readings being done by two different reading devices.

31. The method according to Claim 30, wherein the capture and the target molecules are nucleotide sequences.

32. The method according to Claim 30, wherein the capture and target molecules are antigen antibody pairs.

33. The method according to Claim 30, wherein the capture and target molecules are receptors and ligand pairs.

34. The method according to Claim 30, further comprising detecting said signal by a method selected from the group consisting of reflection, absorption, and diffraction of a light beam, and variation of an electromagnetic field.

35. The method according to Claim 30, wherein said detecting step comprises detecting a fluorescent light emission after excitation of the bound target and capture molecules by a light beam.

36. The method according to Claim 35, wherein the emission is generated by a bound molecule which is selected from the group consisting of molecules having chemo, bio, fluoro, radioactivity, electroluminescence light, and radiation.

37. The method of Claim 30, wherein said detecting step comprises detecting a direct emission of a light beam, a radiation or a magnetic field, resulting from the binding between the target molecule and the capture molecule.

38. The method according to Claim 37, wherein the emission is generated by a bound molecule which is selected from the group consisting of molecules having chemo, bio, fluoro, radioactivity, electroluminescence light, and radiation.

39. The method of Claim 30, wherein the signal comprises a precipitate upon the surface of the disc and/or the corrosion of one or more layer(s) of the surface of the disc.

40. The method of Claim 39, wherein the precipitate is an opaque or magnetic precipitate.

41. The method of Claim 30, wherein the binding between the target and capture molecules results in the fixation of one or more molecules(s) used in the detection of the signal.

42. The method of Claim 41, wherein the binding between the target and the capture molecule results in the fixation of one or more microbeads or magnetic particles used in the detection of the signal.

43. The method of Claim 30, further comprising detecting said signal when the disc is rotating upon its axis.

44. The method of Claim 30, wherein the registered data are binary data.

45. The method of Claim 44, wherein the binary data are grooved binary data.

46. The method of Claim 30, wherein the disc is a compact-disc.

47. The method of Claim 30, wherein the registered data are data used in the treatment and the interpretation of the signal.

48. The method of Claim 30, wherein the disc comprises micro-channels connected and in fluidic contact.

49. A disc comprising registered data, and non-cleavable capture molecules that bind with target molecules, wherein said registered data and said capture molecules are located in different areas on the surface of the disc.

50. The disc according to Claim 49, wherein the non-cleavable capture and the target molecules are selected from the group consisting of nucleic acid molecules, nucleotides sequences, antigens, antibodies, receptors, ligands of receptors, peptidic molecules, proteinic molecules, lipids, saccharides, haptens, fluorophores, chromophores, catalysts, new macromolecules obtained by combinatorial chemistry and a combination thereof.

51. The disc according to Claim 49, wherein the registered data are binary data.

52. The disc of Claim 51, wherein the binary data are grooved binary data.

53. The disc according to Claim 51, wherein the disc is a compact-disc.

54. The disc of Claim 49, further comprising microchannels connected and in fluidic contact.

55. A method of preparing a disc comprising registered data and non-cleavable capture molecules, comprising the step of fixing upon a side of the surface of the disc comprising registered data, non-cleavable capture molecules at specific dedicated areas different from the areas comprising registered data, through a photoactivation of said capture molecules.

56. The process of Claim 55, wherein the fixation of non-cleavable capture molecules is obtained through a covalent link between an extremity of the capture molecules and the surface layer of the disc.

57. The process of Claim 55, wherein the disc surface comprises a protective layer, which allows or improves the protection and stabilization of the non-cleavable capture molecule and/or the protection, stabilization and/or detection of the binding between the target molecule and its non-cleavable capture molecule.

58. A diagnostic kit comprising the disc of Claim 49 and reactants that allow the binding between the target molecule and its capture molecule.

59. The kit of Claim 58, further comprising reactants that allow the detection of a signal which results from said binding.

60. A detection device which detects a signal which results from the binding between a target molecule present in a sample and its capture molecule located on a disc having registered data.

61. The detection device of Claim 60, comprising a compact-disc reading device.

62. The detection device according to Claim 61, comprising a first reading head for the reading of the registered data upon the disc and a second reading head for the detection of the signal resulting from the binding between target molecule and its capture molecule.

63. The detection device of Claim 60, further comprising additional means for the purification of the target molecule, the specific cleavage of the target molecule, and the possible genetic amplification of said target molecule.--

### REMARKS

The foregoing amendments to the specification are merely informal in nature and were made to place the application in better form prior to examination. Claims 1-29 were cancelled and new Claims 30-63 were added to more particularly describe Applicant's invention. No new matter has been added.

Should the Examiner have any questions with respect to this document or the application in general, he is respectfully requested to contact the undersigned attorney.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.

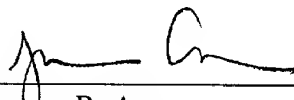
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: \_\_\_\_\_

6/30/00

By: \_\_\_\_\_

  
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METHOD COMPRISING CAPTURE MOLECULE FIXED ON DISC SURFACEField of the invention

The present invention is related to a  
15 detection and/or quantification method of a target molecule  
by its binding with a capture molecule fixed on the surface  
of a disc.

The present invention is also related to a  
disc having fixed upon its surface a non-cleavable capture  
20 molecule, to its preparation process, and to a diagnostic  
and/or reading device of said disc or comprising said disc.

Background of the invention

The complete detection process of a target  
25 molecule (like a nucleotide sequence obtained from a  
microorganism) requires the steps of :

- possibly a preparation of the sample,
- possibly an amplification of the "purified" molecule,
- a binding of said molecule on a "capture" molecule (i.e.  
30 sequence or receptor) preferably fixed on a solid  
support,

- its labeling, and finally
- the analysis of the obtained signal from said labeling.

Therefore, it exists a need for a possibly simplified automatic device and method that could perform  
5 several (or possibly all) of these steps, especially the analysis of the obtained signal, and could discriminate among a large number of complex molecules the specific molecule or microorganism to be detected.

The search for miniaturization of molecules  
10 binding on a determined small surface and identification of their location led to the use of microelectronic circuits for the construction of network designed as DNA-chips (US patent 5 632 957, US patent 5 605 662 and WO94/22889).

Another approach for the analysis of great  
15 numbers of biological molecules is the biochips. These biological molecules can be formed either by direct synthesis of capture molecules on the surface of biochips (WO97/29212) or by fixation of these capture molecules after their synthesis or isolation (WO98/28444). One of the  
20 drawbacks of said technology is the use of complicated and expensive instrumentation for reading out very low signals. In addition, this instrumentation should comprise specific software for the identification of the localization of the spots and for the integration of said signals when  
25 quantitative assays have to be performed.

Electronic devices can control the transport and attachment of specific binding entities like captured oligonucleotides or antibodies into specific micro-  
locations in order to make chips self addressable devices.  
30 For the fixation of molecules, one micro-electrode is positively charged to attract oligonucleotides on its surface. After binding with target molecules like DNA or

antigens, detection is performed using epifluorescent type microscopic detection system for the localization of the microelectrode on which target molecules have been bound.

Said technique has been adapted for DNA  
5 binding proteins attached to substrates so as to form a network on this substrate resulting in a microcircuit (US patent 5,561,071).

However, there is a limit in the number of captured molecules that can be used due to the fact that  
10 they have to fix such capture molecules one by one on each of the micro-electrodes of the device. The other limitation is the resolution obtained in the discrimination between signals coming from adjacent electrodes, due to the dispersion of the emitted signal. Finally, the emission  
15 signal has to be analyzed and interpreted before being processed.

Therefore, it is necessary to provide upon a solid support an increased number of "capture molecules" in order to allow the identification and/or the quantification  
20 of specific target molecules, target microorganisms or portions thereof. However, classical detection supports and means can not be easily adapted for such applications, because they are limited by the number of "capture molecules" they may include, the discrimination between the  
25 signals and the specificity or reproducibility of the assay (see US 5 567 294). Moreover, the reading machines are too sophisticated and expensive.

The document WO98/01533 describes a cleavable signal element comprising a cleavable spacer having a  
30 substrate-attaching end (which can be a compact-disk), a signal-responsive end (which can be linked to a metallic beads, especially gold beads), and a first side member

adapted to bind a first site on a chosen analyte and a second side member adapted to bind a second site of said chosen analyte. The signal is measured when the analyte is fixed upon the first side member and the second side member. Thereafter, the spacer is cleaved and the fixation of the analyte allows the detection of a positive signal.

However, this complex and expensive detection method and device is submitted to various false positives or false negatives in the detection of various complex analytes, which could develop various interactions with said cleavable signal elements.

The document WO97/21090 describes a disc comprising a solid support, an entrance for a biological sample to be analyzed and inside said solid support microchannels for the various treatments of said sample. The other side of said flat solid support in the form of a disc comprises electromagnetic encoded instructions for the control of the rotation of said disc. The biological sample is present in a fluid which can be dedicated to various microchannels according to a centripetal movement.

The document WO96/09548 an apparatus and method for carrying out analysis of biological, chemical or biochemical samples upon an optical transparent disc. Said general optical analysis technique could be adapted to a compact disc by scanning its surface to which a sample has been attached, with a light beam which is substantially focused on that surface. Position codes can be imprinted at discrete regions around the innermost track, incrementing by one between each position. The codes are incremented from track to track. Alternatively, address information may be distributed according to a track sector arrangement in the same way and servo-codes are encoded onto magnetic floppy and hard disks. In said system, any biological material attached to the upper surface will be interfered

with light exciting the disc. Light reflected by the reflective layer will be modulated with the information digitally encoded into the disc so that the output of the detector will be similarly modulated.

5

#### Summary of the invention

The present invention is related to a method for the detection and/or the quantification of a target molecule as described in the claims.

10

The present invention is also related to a disc having fixed upon its surface a non-cleavable capture molecule as described in the claims, and which can be used in the detection and/or quantification method according to the invention.

15

Another aspect of the present invention is related to a preparation process of said disc, a diagnostic kit comprising said disc, a diagnostic and reading device comprising said disc or a diagnostic and reading device which allows the reading and the analysis of the data present upon the disc according to the invention.

20

#### Technical characteristics of the "disc"

By the term "disc" is meant a flat solid support (usually in the form of a disc) which comprises a hole that allows its rotation according to an axis (A)

25

which is located in the center of said hole), made in a rigid material comprising usually one or more polymer layers and which can be covered by one or more metal layers (like gold or aluminum thin layers) so as to allow  
5 penetration and reflection of a light beam, preferably a laser beam, which is used for the detection and the reading of pre-registered data upon said disc (see Fig. 1).

The configuration of said polymeric and metallic layers is prepared in order to allow the  
10 penetration and the reflection of the laser beam only upon selected layers. For instance, the disc may comprise a superior layer that allows the penetration of the laser beam, which will be reflected only by a second lower metallic layer.

15 The definition of a "disc" includes any solid support such as a CD or a "DVD" which comprises data than can be read by a CD-reading device (by penetration and reflection of a laser beam).

It is meant by "data than can be read by a  
20 CD-reading device", possibly registered data (i.e. about the characteristics of capture molecules upon specific areas of said solid support) or data used for the treatment of a signal which is the result of a binding between a target and a capture molecules.

25 One or more sections of a disc such as a compact-disc are dedicated to data processing by standard read/write digital technology (CD tracks; see Figs. 3 to 5 and 7). Specific data for processing and analysis are recorded on the compact-disc surface using digital  
30 recording means. In additional preferred embodiments, read-only memory (ROM) on the disk comprises compact-disc information, instructions, experimental protocols, data

analysis and statistical methods that can be accessed by a user operating the disk and recording of the binding location and result between the capture and target molecules.

5                    Additionally, the disc may contain electronic circuitry, including microprocessors for coordination of disc functions, and devices for communication with the disc manipulation and/or reading device or other devices. The disc optimally comprises detectors and sensors, or  
10 components of these devices and energy sources for various detection schemes (such as electric power supplies for electrochemical systems, electromagnetic radiation sources for spectroscopic systems), or materials, such as optically-transparent materials, that facilitate operation  
15 of and data generation using such detectors and sensors, actuators, communications and data handling devices, mediating communications between the disc and the player/reader device, using electromagnetic (laser, infrared, radiofrequency, microwave), electrical, or other  
20 means; circuitry designed for controlling procedures and processes on the disk, including systems diagnostics, assays protocols and analysis of assay data. These are provided in the form of ASICs or ROM which are programmed only at the point-of-manufacture; FGPA's EPROM, flash  
25 memory (UV-erasable EPROM), or programmable IC arrays, or similar arrays programmable by the user through the platform manipulation device or other device. Also included in the components of the invention are CPU and microprocessor units and associated RAM operating with an  
30 assembler language or high-level language programmable through disk communications, and components for mediating communication with other devices, including facsimile/modem

communications with remote display or data analysis systems.

One remarkable aspect of the disc according to the invention is the density of the microscopic array of possibly pre-registered data patterns embedded within the disc materials. It is an optical storage using a laser beam to detect impressions in the surface of the reflective disc. The ability to compress data to such a fine degree and read it back accurately gives the disc according to the invention one of its defining characteristics, the capability of storing huge amounts of data (for a compact-disc of audio data, the amount of storing is around 650 MB of data).

The disc according to the invention could be adapted for the penetration and refraction of various laser beams upon various polymeric or metallic layers.

For example, laser devices used for emission of a laser beam and lecture of a reflected laser beam may advantageously comprise a hologram disposed between the disc and a photometre.

The disc is in general of 1.2 mm thick and <sup>12 cm</sup>~~1.72 inches~~ in diameter, but smaller supports also exist and could be adapted for specific applications (such as binding between a capture and a target molecules into a Petri dish), and the thickness can be adapted according to the technical requirements of the capture molecule and the detection method of the invention used.

The disc can incorporate grooves to conduct the lecture by a laser beam. In said grooves are incorporated "registered" data that can be thereafter analyzed and advantageously transcribed into digital data. Preferably, said registered data are in the form of binary

AMENDED SHEET  
IPLAEP



information. Preferably, these grooves will also comprise fixed non-cleavable capture molecules.

Through its intensive and narrowly focused beam, the laser provides means for precise detection and registration of the passage of thousands of tiny impressions upon the rapidly spinning disc surface. Said detection process generates no friction since the detection is based on the measurement of phase shifts in reflected light. This technique allows the detection of considerable data compaction, since the carefully focused laser beam is able to respond at the speed of the light to extremely small variations in the disc surface.

Light derived from typically natural or artificial sources consists of photons that move in random wave patterns, even when they originate from light beams of the same frequency. Light beams of this sort are considered incoherent, meaning the waves travel in all directions. In comparison, the light associated with lasers is advantageously considered coherent.

A laser beam is created when a source of energy is introduced into what is called an *active medium*. A pair of mirrors positioned on each sides of an active medium are used to channel a portion of the radiation that strikes it. The active medium can consist of a gaseous mix (such as helium and neon) or ions within a crystalline matrix (such as found in the gallium-arsenide lasers typically used in compact-disc (CD) drives and recorders). The materials and the energy source used to stimulate the light determine the strength and intensity of the resulting beam. The lasers used within CD-equipment are usually of extremely low power.

The CD drive laser is directed at the spinning disc and the reflected light passes through a lens and strikes a photodiode (see Figs. 3 to 5). Data on the disc surface is encoded in the form of pits (indentations in the disc) and lands (the surface of the disc) or disc tracks.

Logic timing circuits coupled to the photodiode can register the difference between the distance the light has traveled (when it strikes the disc surface) and the distance it has traveled (when it strikes an indentation in the disc surface). This difference is detected as a phase shift in the light beam.

As with all digital coded information, the pattern composed of successive pits and lands - relayed as an electronic string of 1's and 0's by the photodiode - can represent much more complex analog equivalents, such as for the present case, the level of the binding between a target and its capture molecule. This information illustrated as pits present on the surface of the disc according to the invention is the result of the binding between the "capture" and "target" molecules.

The disc according to the invention having fixed upon its surface the capture molecule may comprise a protective layer possibly made of organic compounds which allow or improve protection and stabilization of "capture" molecules such as a layer made of proteinic and/or saccharidic compounds like albumin, disaccharides (such as trehalose, etc.).

The composition of such a layer is adapted by the person skilled in the art according to the specific capture molecule used. If necessary, such composition can be adapted in order to allow the laser beam to read through

said layer without difficulty and to detect the binding between a "target" molecule and its "capture" molecule or the result of said binding. If necessary, said layer may be omitted before or after the binding between capture and  
5 target molecules.

To successfully communicate by means of nothing than a series of pits in a disc requires computer processing and some already available high-technology wizardry. At no point does the laser's read mechanism ever  
10 touch the disc surface; all data is preferably conveyed by reflections of the laser. In a normal audio CD, the laser beam takes a certain amount of time to return when it is reflected off the lands, but it takes longer to travel if it is swallowed up and reflected by pits. The depth of the  
15 pit is engineered to be  $1/4$  the wavelength of the laser light. If the reflected beam from the pit cancels out the beam from the land, a signal transition is obtained. Signal transitions (signaled by the beginning or end of a pit) represent binary 1's. If there is no signal transition,  
20 this indicates a binary 0.

One particular feature of commercial CD-drives is their property to read such pits and deliver data at 900 Kb/sec, making this laser reflector technology particularly suitable for the reading not only of the  
25 registered pits but also the result of the binding.

To maintain synchronization while reading the data patterns, the CD drive uses self-clocking mechanism that is commonly found in hard disk drives, which is called Run Length Limited. Because data exists within finite  
30 divisions on the spiral track, each data division extends approximately 300 nanometers, the CD-microcontroller can produce regular clock signals by synchronizing to the speed

AMENDED SHEET  
IPEA/EP

of the disc rotation and the occurrences of transitions. Although many forms of data storage use a 8-bit sequence for storing data bytes, the normal CD requires a 14-bit pattern to avoid creating combinations of 1's and 0's that  
5 would prevent decoding of the stored data. This modified form of storage is called EFM (Eight-to-Fourteen Modulation). An additional 3 bits called *merging bits* act as separator between the 14-bit part, resulting in a 17-bit pattern to represent a single 8-bit byte of data.

10 Another significant division of data at the bit level is the *frame*, which consists of 588 bits. The frame encompasses a collection of bits : some of them signify data, others allow the laser to be synchronized with the spinning of the disc and still others contribute  
15 to the error-correcting capabilities within the CD equipment. Of this collection of bits only twenty-four 17-bit units (408 bits altogether) can be translated into 8-bits bytes. Many additional bits are needed to convey the information contained in a mere two-dozen data bytes.

20 The disc according to the invention can be in any "external" shape form. As above-mentioned, the form of said disc is preferably circular or elliptic, but its external shape form may be for instance hexagonal, octagonal, in the form of a square or a triangle which  
25 allows the rotation of said disc along a central axis (A).

The disc according to the invention may correspond to the standards of CD-ROM XA, CD-DVD, audio CD, CD-ROM, CD-I, recordable CD and photo or video CD (CD-ROM- and CD-I bridge), etc. Said CD standard may differ  
30 according to the type of data storage, accuracy and amount of information.

Specific areas of the disc according to the invention can be dedicated to the reading of the reaction that is the result of the binding between the target and the capture molecules. These specific areas are parts of the disc surface according to the invention or an area of the disc on which a second material is fixed and whose surface comprises the capture molecules. These areas can be a cavity in the disc. Said second material is a strip of plastic upon which the binding between the target and the capture molecules has already been performed and which is thereafter fixed upon the disc for its specific reading.

Advantageously, each strip can be loaded with several different capture molecules that will react specifically with the same sample or different samples to be analyzed. Thereafter, the signal can be read individually or simultaneously upon the same disc. A classical disc like a compact-disc could be able to handle 20 or more of such strips.

Preferred embodiments that are most advantageous for manufacturing and operation of the compact-disc of the invention have dimensions within one or more of four pre-existing formats :

- 5 cm compact disk (CD), having a radius of about 3.8 cm and thickness of about 1 mm,
- 12 cm CD, having a radius of about 6 cm and a thickness of 1 mm,
- 20 cm CDV (commercially termed a "Laservision" disk), having a radius of 10 cm and a thickness of 2 mm, and
- 30 cm CDV disk, having a radius of 15 cm and a thickness of 2 mm.

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The lifespan of data stored on a magnetic tape when covered by ranges from about 6 to 12 years. Estimates for recordable compact-disc lifespans generally suggest a century of stable data storage.

5           The lifespan of the specific disc according to the invention is shorter and usually limited by the metal corrosion and the possible denaturation of the capture molecule fixed upon the solid surface. The data stored on CD may exist in the familiar concentric circles  
10 (referred to as tracks) of the hard disk drive world or in a continuous spiral like the phonograph records of days past.

One particular property of the compact-disc and its encoded information is the tracking system.  
15 Different systems exist in commercially available CD-recorders in order to control the movement of the entire optical pick up in it, radially across the disc, and to search for any one of up to 20000 different radial tracks present on the CD. Said technique can be advantageously  
20 adapted for the reading of the signal that is the result of the binding between the target and the capture molecules. The reading of the signal and the reading of the pre-registered information can be done by the same device or by two different reading devices, which can be the same laser  
25 beam reading device or two laser beam reading devices.

The correction of the radial tracking (identification of a binding upon a capture molecule by a light beam) is performed by using specific systems, as the one described in the publication The CD-ROM Handbook, 2d  
30 Ed. (Chris Sherman Editor, Intertext Publication, McGraw-Hill Inc.). The CD-drives also use special device

servomechanisms in order to position the laser's reading head.

Preferably, the disc incorporates microfabricated mechanical and/or optical control components on platforms made from, for example, plastic, silica, quartz, metal or ceramic and/or microchannels as described in the document WO97/21090. For the purposes of this invention, the term "microfabricated" refers to processes that allow production of these structures on the sub-millimeter scale. These processes include but are not restricted to photolithography, etching, stamping and other nano or microtechnological means that are familiar to those skilled in the art.

Additional descriptions of a CD solid support are given in the following publications: The CD-ROM Handbook, 2d Ed. (Chris Sherman Editor, Intertext Publication, McGraw-Hill Inc.), The Complete Recordable CD Guide (Lee Purcell & David Martin, Sybex Editions), Digital Audio and Compact-disc Technology, 2d Ed. (Luc Bart, Luc Theunissen and Guido Vergult, Sony Service Center Europe, Ed. BH Newnes).

#### "Target" molecules and "capture" molecules

"Target" and "capture" molecules can be any kind of biological and chemical compounds, which are able to create a binding (or a specific fixation) between each other, said binding or the result of said binding can be detected by a reading device, preferably by using a light-beam, preferably a laser beam.

Preferably, said "target" molecule is present in a sample selected from the group consisting of blood,

urine, cerebrospinal fluid, plasma, saliva, semen, amniotic fluid, air, water, soil or disrupted biological matter.

Preferably, said "target" and non-cleavable "capture" molecules are synthetic or natural molecules  
5 selected from the group consisting of nucleic acids, antibodies, saccharides, lipids, peptides, proteins, lectins, catalysts, receptors, agonists or antagonists of receptors, fluorophores, chromophores, chelates, haptens, ions, molecules having different chiral structures, new  
10 synthetic chemical macro-molecules obtained by combinatorial chemistry or other functionalized macrostructures, portions or a combination thereof.

A "non-cleavable capture molecule" means a molecule that does not comprise and need a cleavable spacer  
15 as described in the document WO98/01533, to allow or to permit the detection of the binding between a target molecule (or analyte) and a capture molecule. According to the invention, the simple binding between a target and a capture molecules allows thereafter the formation of a  
20 signal that was not previously present and that can be detected directly or indirectly by a reading device using a light beam, preferably a laser beam, without requiring any specific cleavage of the capture molecule.

The target or the non-cleavable capture  
25 molecules according to the invention are advantageously detected and/or quantified in order to obtain the monitoring, the study and the characteristic behaviors of pathogenic, therapeutical, toxic and/or other improved properties of a target molecule.

30 The antigens/antibodies binding allows antigens or antibodies detection and are used in diagnostic tests based upon RIA and ELISA detection methods. The



ligands/receptors have mainly been developed in pharmacological research for the screening of new molecules (agonists, antagonists or reverse agonists of receptors). Nucleotidic sequences detection has been highly developed  
5 through the increased knowledge of the sequence of numerous genes and the development of amplification, hybridization, separation and purification techniques (see, e.g. J. Sambrook, E.F. Fritsch and T. Maniatis, Molecular cloning: laboratory manual, 2nd Ed. Cold Spring, Harbor Laboratory  
10 Press, Cold Spring Harbor, New York, 1989).

A first popular detection and amplification method of nucleotide sequences comprises the step of a Polymerase Chain Binding (PCR) (US patents 4,683,195 and 4,683,202) or other amplifications, such as the Ligase  
15 Chain Binding (LCR) (Wu and Wallace, 1989, Genomics 4: 560-569), transcription based amplification systems (Kwoh et al. 1989, Proc. Natl. Acad. Sci. USA, 86: 1173-1177) or Cycling Probe Binding (CPR) (Duck et al., 1990, Biotechniques 9: 142-147 and US patent 5,011,769).

20 Nucleotide sequences detection, quantification and recording by signal of said detection and/or quantification, is obtained after the hybridization of a nucleotide sequence on a capture probe (either by a single or sandwich hybridization) and with the labeling of  
25 one of the sequences which give rise to a detection signal, the changes of which can be recorded by the reading device according to the invention.

Many detection methods have been applied to DNA sequences (detected by their own absorbance at 260 nm  
30 or by their fluorescence in the presence of ethyidium bromide). The use of radioactive labeling like  $^{32}\text{P}$  incorporated into the nucleotidic sequences allows a

sensitive detection, but is not recommended for routine assays due to improved safety constraint legislations.

In addition, nucleotide sequences can be labeled by molecules (for example fluoresceine, rhodamine, ruthenium or lanthanide chelate which can be directly detected) or labeled in such a way as to bind enzyme conjugate. A labeling is obtained with the use of biotin or an hapten and an enzyme conjugated to streptavidine or a corresponding antibody. Advantageously, different signals can be obtained according to the product of the binding. For example, peroxidase and alkaline phosphatase give a colored product with the use of TMB (Tetramethylbenzidine) or 5 bromo-4 chloro-3-indolyl-phosphate as substrate. A light emission can be obtained with the use of luminol or AMPPD (3-(2'-spiroadamantane)-4-methoxy-4(3'-phosphoryloxy) 1,2 dioxethane) as substrates.

The DAB (Diaminobenzidine) can be transformed into an insoluble product after oxidation by a peroxidase catalyzed binding. Pyruvate kinase can also be used for the production of ATP which is transformed by luciferase in order to obtain a detected light (bioluminescence detection method).

Advantageously, new technologies like plasmon surface resonance or optical waveguides may be used for the detection of non-labeled target molecules binding and the follow-up of binding kinetic (Gotoh and all. 1995, DNA Res. 2: 285-293, Stimpson et al. 1995, Proc. Natl. Acad. Sci. USA: 92, 6379-6383).

Fixation of the non-cleavable capture molecule upon the surface of the compact-disc

The non-cleavable capture molecules are preferably fixed at specific intervals on a CD in order to  
5 allow specific discrimination between each binding made between a specific non-cleavable capture molecule and its target molecule by a light beam detection device or another device. For specific detection, it is preferred that the capture molecules are located in a specific area of the  
10 disc which does not comprise any groove or pre-registered information in order to avoid any false positive which can be the result of a signal upon pre-registered information.

The location of the non-cleavable "capture" molecule on the external surface of the compact-disc can be  
15 addressed by conventional physical methods using microlithographic and/or micromachining techniques of incubation which will maintain the non-cleavable capture molecules at certain locations where they will be fixed. An alternative method is obtained by using photoactivable  
20 chemical groups which allow the fixation of non-cleavable capture molecules at specific treated locations, such as a portion of said external surface, treated by a light beam (such as a focused laser beam) or treated by selective ion beam or selective plasma treatment (see document  
25 WO96/15223).

Advantageously, the external surface of the compact-disc according to the invention also contains data which allows the disc to be read in an laser-based CD-reader (information usually stored as a series of pits  
30 located in the disc grooves and which are necessary to localize the non-cleavable capture molecule on the surface of the disc). This can be obtained through the presence of

appropriated pits or protruding indentations equivalent to the pits in the disc grooves. The addressing (fixation) of the non-cleavable capture molecule on the surface is best obtained using such data and using a laser beam which is  
5 part of the overall device and if possible the same laser beam source used for reading the CD information.

The fixation of non-cleavable capture molecules on the disc is obtained using conventional methods based either on covalent or non-covalent bindings.  
10 The preferred embodiment is the covalent fixation at one of the molecule extremity, which allows a stable fixation and an homogeneity in the non-cleavable capture molecule presentation at the surface for the binding to the target molecule.

15 A photoactivable chemical group like azido-nitrophenyl which can be fixed at the extremities of any molecule bearing a free amino group by using for instance heterobifunctional reagent like sulfosuccinimidyl 6-(4'-Azido-2'- Nitrophenylamino hexanoate (Pierce, Rockford, IL, USA). Such a photoactivable group will react only at the  
20 place of illumination such as a laser beam (Dontha, N. et al. 1997, Anal. Chem. 69: 2619-2625) and in this way the fixation of a specific probe can be well address on the disc. Other chemical fixations exist like the 5' -  
25 Phosphate end group fixation of nucleic acid on the amine by carbodiimide or trapping in polypyrrol polymers. Polymeric surfaces can be carboxylated and aminated in order to allow the fixation of most of the biological molecules through bindings well known in organic chemistry  
30 (Zammatteo et al. 1996, Anal. Biochem. 236: 85-94).

One particular way to physically address the capture probe is to take advantage of the centripetal force

arising from the rotation of the disc. The liquid is projected through inlet pits to enter the disc and then conveyed through microchannels until binding chambers (see International Patent Application WO97/21090).

5

Binding between non-cleavable "capture" and "target" molecules

The binding (or fixation) of the target molecule upon its non-cleavable capture molecule is  
10 obtained in standard and reproducible conditions which are now well known either for the nucleotide hybridization (hybridization preferably under standard stringent conditions such as the one described by Sambrook et al., §§ 9.31-9.58 in *Molecular Cloning: A Laboratory Manual*,  
15 Cold Spring Harbor, Laboratory Press, Cold Spring Harbor, New York (1989)), for the antigen/antibody bindings, for the receptor/ligand binding or other molecules interactions like protein/nucleotides or chemical/chemical molecules recognition.

20 Preferably, said molecules contain (either by nature or per modification) a functional chemical group (primary amine, sulfhydryl, aldehyde, etc.), a common sequence (nucleic acids), an epitope (antibodies), a hapten or a ligand group, to allow said binding.

25 The binding between the target molecule and its non-cleavable capture molecule may depend on the specific affinity of the molecules, on the allosteric properties of each molecule, on their ionic environment, on the ionic charge of the molecule and the possible covalent  
30 reaction between the target and its non-cleavable capture molecule. Preferably, said conditions are the ones already described in the literature for each type of binding, and

can be adapted by the person skilled in the art in order to avoid positive or negative false detections.

Preferably, the optical detection system which can read said binding may comprise a photo-diode  
5 which can detect a small light beam and which moves according to a one dimension axe so as to cover the radius of the disc (see Fig. 4). Combined with the rotation of the disc, such focused photo-detection scans the entire surface of the disc and so to assay for the target molecule present  
10 at any location on the disc. The preferred detection device is the photo-diode of the commercially available CD readers which are used for music, video or software CD (see Fig. 5).

The photo system can be servocontrolled in  
15 order to stay in focus to the detection surface. If a second optical detection system is provided for the detection of the signal, it can also be servocontrolled or linked to the other one for its control, or it may receive from the first one data in order to adjust its focus and  
20 its tracks to the disc. The data received from the consecutive reading of the disc surface can also be stored in a computer, reformed if necessary and analyzed for the definition of spots localization.

The photometric signal is obtained once the  
25 binding between the target molecule and its non-cleavable capture molecule allows the formation of a photometric signal. Advantageously, the detection and/or the quantification of said binding (binding of the target molecule to its non-cleavable capture molecule) is based  
30 upon the principle of CD binary detection system using the variation in the laser beam reflection. A perturbation in

the laser reflection is obtained when the laser beam detects in the groove a pit.

According to a first embodiment of the present invention, said pit is advantageously a precipitate  
5 which is a result of the binding between the target and the non-cleavable capture molecules.

According to another preferred embodiment, a perturbation in the laser reflection can also be obtained by a corrosive attack upon one or several layers of the  
10 compact-disc. For instance, the binding between the target molecule and its non-cleavable capture molecule may provoke a limited modification of the layer which will form an indentation in said layer (see Fig. 3). Such indentations in the layer are usually called "mounds" or "bumps", but  
15 are also identified as negative pits (see document Recordable CD Guide, Lee Purcell & David Martin, Sybex Editions). These perturbations will be detected by the laser beam as pits which differ from lands. The binding between the target molecule and its non-cleavable capture  
20 molecule can also be detected and quantified directly by a light emission obtained only when said binding takes place.

According to another preferred embodiment of the present invention, the binding of the target molecule upon its non-cleavable capture molecule allows the fixation  
25 of one or other molecules which produce a light emission through a chemo, bio, fluoro and/or electroluminescence light system or will create a magnetic and/or electric field which could be detected by specific reading devices 7- (see Fig. 1 and 4).

30 These systems can be based upon the use of specific enzymes (peroxidase, alkaline phosphatase,

pyruvate kinase, etc.) which allow or improve light emission and detection.

One may use a labeled target molecule 6 or a second labeled reactive molecule once the target molecule is captured. This labeled molecule can be the first member of a binding pair such as nucleic acid 2 in a sandwich hybridization assay, using biotin 1 or hapten labeled probes and similarly in an antibody/antigen sandwich binding using similar labeled reactive molecules. After a washing step, the biotin or hapten can react with an enzyme-conjugate streptavidine or antibodies which are then considered as the second member of the binding pair. Enzymes such as peroxidase, alkaline phosphatase, pyruvate kinase or other dehydrogenases can be used.

One selects specific substrate for said enzymes in order to obtain an insoluble product. For example DAB can be oxidized in the presence of peroxidase and form an insoluble product. This product will precipitate upon the non-cleavable capture molecule, and such precipitate will form limps or mounds on the surface of the disc according to the disc face that will be illuminated by the (laser) beam. The reflected (laser) beam intensity will be lowered when illuminating the precipitate and a perturbation in the (laser) reflection can be obtained. Such a perturbation is analyzed by the photosensitive detection device as a pit upon the surface of the disc.

If detected by light transmission through a transparent part of the disc, the presence of the precipitate will show an absorbance that can be measured.

Another insoluble product is obtained when colloidal metal light gold is used, for example bound to



streptavidin 3. The colloidal gold catalyzes the reduction of silver (Ag) 4 to form Ag-precipitate when the binding is obtained. Silver deposit can either reduce a (laser) light beam reflection compared to gold or aluminum layers usually present on a disc 5 and can also reflect some of the light if no other metal is present. This precipitate being opaque to the light can also be detected by absorption of the light in a transmission assay through the disc (see Figs. 2 and 6).

10 It is also possible to use microbeads which bear binding molecules (second binding pair) which allow the recognition of a first binding pair attached to the target molecule. These microbeads will be located at the surface of the disc where the binding of the target molecule and its non-cleavable capture molecule has been obtained. These beads will diffract the (laser) light beam and will create a perturbation in the (laser) reflection. These perturbations in the (laser) reflection will be detected by the photosensitive detection device and  
15 analyzed as the pits upon the surface of the disc.

According to another embodiment of the present invention, the detection is obtained by a labeling of the target molecule (with a fluorescent molecule). The (laser) light beam will scan the compact-disc surface and  
25 analyze the fluorescence recorded. Many fluorescent molecules associated with the target molecule are available, like fluoresceine, phycoerythrine, rhodamine or lanthanide chelates, which can be easily labeled upon nucleic acids, antibodies or microbeads for a direct or  
30 indirect labeling of the target molecule.

The recorded signal can be read either as a binary signal or as an absolute value. The binary signal is

advantageously quickly processed as an electronic computerized data and analyzed by appropriate software. This software will convert this information into data which can analyze the detection obtained and quantify the binding  
5 between the target molecule and its non-cleavable capture molecule.

Preferably, the disc according to the invention may comprise additional pits, preferably in the groove adjacent to the non-cleavable capture molecule,  
10 which give information about the type, the quantity and the specificity of said adjacent non-cleavable capture molecule.

According to a specific embodiment of the present invention, the disc according to the invention  
15 bears a fixed oligonucleotide capture probe so as to allow a detection, amplification and possibly quantification of a nucleic acid sequence upon a same solid support (the surface of the disc according to the invention). In an alternative form of execution, the disc comprises fixed PCR  
20 primer in order to obtain the production of amplicons and fixation of amplicons upon the external surface of the disc, which allows thereafter their detection (according to the method described by Rasmussen et al. 1991, Anal. Biochem. 198: 138-205).

25 The disc according to the invention is used in a diagnostic kit, in a diagnostic and reading device which allows automatically the lecture of a sample preparation of a chemical or biological compound, possibly by a previous treatment of said chemical or biological  
30 compound (such as genetic amplification of a nucleotide sequence).

Preferably, said device is a system that combines multiple steps or substeps within an integrated system such as an automatic nucleic acid diagnostic system, which allows the steps of purification of the nucleic acid sequences in a sample, their possible amplification (through known genetic amplification methods), their diagnostic and possibly their quantification.

Preferred embodiments of the present invention will be described in the following non-limiting examples.

#### Example 1: Detection of DNA on CD

The goal of this experiment was to detect specific DNA by direct hybridization on capture probe bound to CD support. The detection was realized by colorimetric measurement. Capture probe were bound on aminated polycarbonate CD, then hybridization was made with complementary biotinylated DNA and positive hybridization was detected with streptavidin-peroxidase.

##### 1. Amination of polycarbonate of CD

CD were first carboxylated by incubation 30 min in NaOH 1N solution at room temperature. After 3 washes with water, carboxylated CD were incubated in a solution of MES 0.1M pH 6 buffer containing water soluble carbodiimide at 1 mg/ml and N-methylpiperazine 1-3 diamine at 1 mM during 2 hours at room temperature. After 3 washes in MES 0.1M pH 6 buffer and 3 washes with water, the aminated CDs were dried at 37 °C for 30 min.

## 2. Fixation of capture probes on aminated CDs

2 solutions were prepared, one containing CMV capture probe and the other containing HIV capture probe. These solutions were MeIM 0.01 M pH 7.5 buffer containing  
 5 denatured DNA capture probe (CMV or HIV) at a concentration of 2 µg/ml and carbodiimide at a concentration of 1.6 mg/ml.

3 x 20 µl of these solutions were spotted on two aminated CDs and these CDs were incubated at 50 °C for  
 10 5 hours in a wet atmosphere. After three washes of 5 min with NaOH 0.4 N + Tween 0.25% at 50 °C, these CDs were rinsed 3 times with water and dried at 37 °C for 30 min.

## 3. Hybridization of CMV biotinylated DNA on CDs

Both CDs were incubated 5 min in NaOH 0.2 N for denaturing capture probe, then rinsed with 0.1 M maleate buffer pH 7.5 with 0.15 M NaCl. These CDs were then incubated in a hybridization solution containing  
 15 denatured DNA salmon sperm 100 µg/ml, SSC 4X, Denhardt 5X and denatured CMV biotinylated DNA at a concentration of  
 20 70 ng/ml for 2 hours at 65 °C. After hybridization step, the CDs were washed 3 times with 0.01 M maleate buffer containing 15 mM NaCl and Tween™ 0.3% at room temperature.

The first CD was then incubated with 0.1 M maleate buffer containing 0.15 M NaCl, 0.1% milk powder and streptavidin-peroxidase 1 µg/ml for 45 min at room  
 25 temperature. After conjugates incubation, both CDs were washed 3 times with 0.01 M maleate buffer containing 15 mM NaCl and Tween 0.3% at room temperature.

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#### 4. Detection of hybridized DNA

The first CD was then incubated for 10 min in TMB solution (Medgenix). A picture was taken of this CD after 1 min of this incubation to see blue color appearing where positive hybridization occurred. The result can be obtained by absorption of transmitted light through the CD.

#### Example 2: Detection of DNA on CD with maser detection

The DNA capture probe was spotted on the CD surface and the hybridization with the target DNA were identical to the example 1. For the detection of the biotinylated hybridized DNA, the CD was incubated with 0.1 M maleate buffer containing 0.15 M NaCl, 0.1% milk powder and streptavidin-colloidal gold (Sigma, St-Louis, USA) 1 µg/ml for 45 min at room temperature. The CD was further incubated 30 min in a solution made of equal volume of Solution A and B from Silver enhancement kit (Sigma, St-Louis, USA) in order to have silver precipitate where positive hybridization occurred. This CD was recovered with a gold layer to allow a laser CD player to read information written on the CD and to read the interference due to silver precipitate (Fig. 2 and 3).

#### Example 3: Detection of protein on CD by light absorption

The CD used were partly inprinted with data on pits and this part was covered with gold. The fixation of the capture molecules was done on the periphery of the CD, directly on the plastic surface.

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### 1. Carboxylation of CD

First CDs were incubated 30 min in NaOH 1 N at room temperature then rinsed 3 times with water and dried at 37 °C for 30 min.

5

### 2. Fixation of antibodies on CDs

Three different types of antibodies were fixed on the carboxylated CD: antibodies against bovine serum albumin, antibodies against fluoresceine (for negative control) and antibodies against streptavidin (for positive control).

20 µl of three different solutions of borate buffer 0.02 M NaCl pH 8.2 containing carbodiimide (Acros) at 1 mg/ml and one type of the three different antibodies at 10 µg/ml were spotted on three different pieces of CD. These spots were incubated overnight at 4 °C, and then rinsed for 10 min with glycine buffer 0.1 M pH 9.2 containing casein at 0.1%, then twice with glycine buffer 0.1 M pH 9.2 containing Tween™ 20 at 0.1% for 5 min and finally twice with glycine buffer 0.1 M pH 9.2. The CDs were dried at 37 °C during 30 min.

### 3. Detection of bovine serum albumin by ELISA technique on CD

The CDs were incubated at room temperature with the three different antibodies fixed onto the surface with a solution of serum albumin at 10 µg/ml in PBS containing 0.1% of casein. The incubation was for 90 min. The CDs were rinsed 3 times with PBS containing 0.1% of Tween™ 20, and then incubated with biotinylated antibodies against serum albumin at 20 µg/ml in PBS containing 0.1% of

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casein for 45 min. They were then rinsed 3 times with PBS containing 0.1% of Tween™ 20, and then incubated for 45 min the CDs in a solution of PBS containing 0.1% of casein and either Streptavidin-peroxidase at 1 µg/ml. The CDs  
 5 were rinsed 3 times with PBS containing 0.1% of Tween™ 20. For detection, the CD where streptavidin-peroxidase was fixed were incubated in a solution of TMB and pictures were taken after 2, 4 and 6 min under camera to see blue color appearing where we had spotted antibodies against BSA and  
 10 against streptavidin.

**Example 4: Detection of proteins on CD with laser detection**

The albumin was spotted on the CD surface and the reaction with the antibodies were identical to the  
 15 example 3. The conjugate used to react against the biotinylated antibodies was a streptavidin-gold. It. was incubated for 45 min in a PBS solution containing 0.1% casein at a concentration of 1 µg/ml. The streptavidin-gold served as a center for silver reduction. A solution of  
 20 "silver enhancement" (Sigma) for 15 min at room temperature was used. Silver precipitation was seen at the place where antibodies against BSA and against streptavidin were spotted. A variation in the light absorption was observed, due to the precipitate and the size of the precipitate  
 25 which are about 1 µm in diameter. The presence of pits was found by reflection of the laser beam (Fig. 5).

**Example 5: Magnetic detection of DNA or protein on CD**

Detection of hybridized DNA or protein on CD  
 30 support can be achieved by magnetic process. Biotin bound to DNA or antibodies can be recognized by streptavidin conjugated to ferro-fluid (Immunicon, Hungtinton Valley,

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PA, USA). This conjugate is magnetic or paramagnetic according to the size of ferrite nucleus of the ferro-fluid and can then be detected in a magnetic field (Fig. 7).



5

CLAIMS

1. Method for the detection and/or the quantification of a target molecule present in a sample, preferably a biological sample, comprising the steps of :

- allowing a binding between said target molecule and a capture molecule fixed upon a side of the surface of a solid support being a disc comprising registered data, said binding resulting in a signal, the registered data being located on areas separated from the areas dedicated to the reading of the signal resulting from the binding of a target molecule and a capture molecule,
- allowing a detection and/or quantification of said signal with the proviso that said signal is not obtained through cleavage of capture molecule, and
- reading the registered information and reading the signal resulting from the binding between a target molecule and a capture molecule said readings being done by two different reading devices.

2. Method according to claim 1, characterized in that the capture and the target molecules are nucleotide sequences.

3. Method according to claim 1, characterized in that the capture and target molecules are respectively either antigens or antibodies.

4. Method according to claim 1, characterized in that the capture and target molecules are respectively either receptors or ligands of said receptors.

5. Method according to any one of the preceding claims, characterized in that the detection and/or the quantification of the signal is obtained by reflection, absorption or diffraction of a light beam,

preferably a laser beam, or variation of an electromagnetic field.

6. Method according to any one of the preceding claims, characterized in that the detection  
5 and/or the quantification of the signal is obtained by a fluorescent light emission after excitation of the bound target and capture molecules by a light beam.

7. Method according to any one of the claims  
1 to 4, characterized in that the detection and/or the  
10 quantification of the signal is obtained by a direct emission of a light beam, a radiation or a magnetic field, which is a result of the binding between the target molecule and its capture molecule.

8. Method according to claim 6 or 7,  
15 characterized in that the emission of a light beam is generated by a bound molecule which is selected from the group consisting of molecules having chemo, bio, fluoro, radioactivity and/or electroluminescence light or radiation.

9. Method according to any one of the preceding claims, characterized in that the binding between the target and the capture molecules generates a precipitate, preferably an opaque or magnetic precipitate such as a deposit of a colloidal metal reagent, preferably  
20 a silver precipitate, upon the surface of the disc and/or the corrosion of one or more layer(s) of the surface of the disc.

10. Method according to any one of the preceding claims, characterized in that the binding between  
30 the target and the non-cleavable capture molecules allows the fixation of one or more molecule(s) used in the detection and/or the quantification of a signal which results from said binding.

11. Method according to claim 10,  
35 characterized in that the binding between the target and

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the non-cleavable capture molecule allows the fixation of one or more microbeads or magnetic particles used in the detection and/or the quantification of a signal that results from said binding.

5                   12. Method according to any one of the preceding claims, characterized in that the signal is obtained when the disc is rotating upon its axis (A).

10                   13. Method according to any one of the preceding claims, characterized in that the registered data of the disc are binary data, preferably grooved binary data.

                  14. Method according to any one of the preceding claims, characterized in that the disc is a compact-disc.

15                   15. Method according to any one of the preceding claims, characterized in that the registered data are data used in the treatment and the interpretation of the signal resulting from the binding between the capture and the target molecules.

20                   16. Method according to any one of the preceding claims, characterized in that the disc comprises micro-channels connected and in fluidic contact.

                  17. Disc comprising registered data, characterized in that it further comprises, fixed upon a side of its surface, in dedicated areas different from the areas comprising registered data, non-cleavable capture molecules that allow a binding with target molecules to be detected and/or quantified.

25                   18. Disc according to claim 17, characterized in that the non-cleavable capture and/or the target molecules are selected from the group consisting of nucleic acid molecules, preferably nucleotide sequences, antigens, antibodies, receptors, ligands of receptors, peptidic or proteinic molecules, lipids, saccharides, haptens, 35 fluorophores, chromophores, catalysts, new macromolecules

obtained by combinatorial chemistry or a combination thereof.

19. Disc according to claim 17 or 18, characterized in that the registered data of the disc are  
5 binary data, preferably grooved binary data.

20. Disc according to claim 19, characterized in that it is a compact-disc.

21. Disc according to any one of the claims any of the claims 17 to 21, characterized in that it  
10 comprises microchannels connected and in fluidic contact.

22. Preparation process of the disc according to any one of the claims 17 to 21, which comprises the step of a fixation upon a side of the surface of a disc comprising registered data, of non-cleavable capture  
15 molecules at specific dedicated areas different from the areas comprising registered data, through a photoactivation of said capture molecules.

23. Process according to claim 22, characterized in that the fixation of non-cleavable capture  
20 molecules is obtained through a covalent link between an extremity of the capture molecules and the surface layer of the disc.

24. Process according to claim 22 or 23, characterized in that the disc surface comprises a  
25 protective layer, preferably made of organic compound, which allows or improves the protection and stabilization of the non-cleavable capture molecule and/or the protection, stabilization and/or detection of the binding between the target molecule and its non-cleavable capture  
30 molecule.

25. Diagnostic kit comprising the disc according to any one of the claims 17 to 21 and the reactants allowing the binding between a target molecule and its capture molecule and possibly the reactants

allowing the detection of the signal which results from said binding.

26. Detection and/or reading device which allows the detection and/or the quantification of the  
5 signal which results from the binding between a target molecule present in a sample and its capture molecule, and which comprises the disc according to any one of the claims 17 to 21 or the kit according to claim 25, and means for the detection and/or quantification of said signal.

10 27. Detection and/or reading device according to claim 26, being a reading compact-disc device.

28. Detection and/or reading device according to claim 27, characterized in that it comprises a first  
15 reading head for the reading of registered data upon the disc and a second reading head for the detection and/or the quantification of the signal which results from the binding between target molecule and its capture molecule.

29. Detection and/or reading device according to any one of the claims 26 to 28, which comprises  
20 additional means for the purification of the target molecule, the specific cleavage of the target molecule, the possible genetic amplification of said target molecule within an integrated detection and/or reading device.

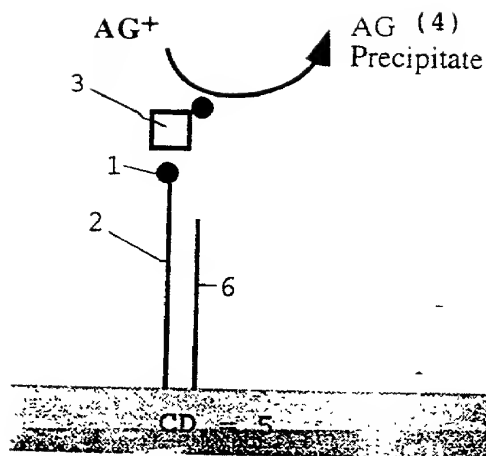
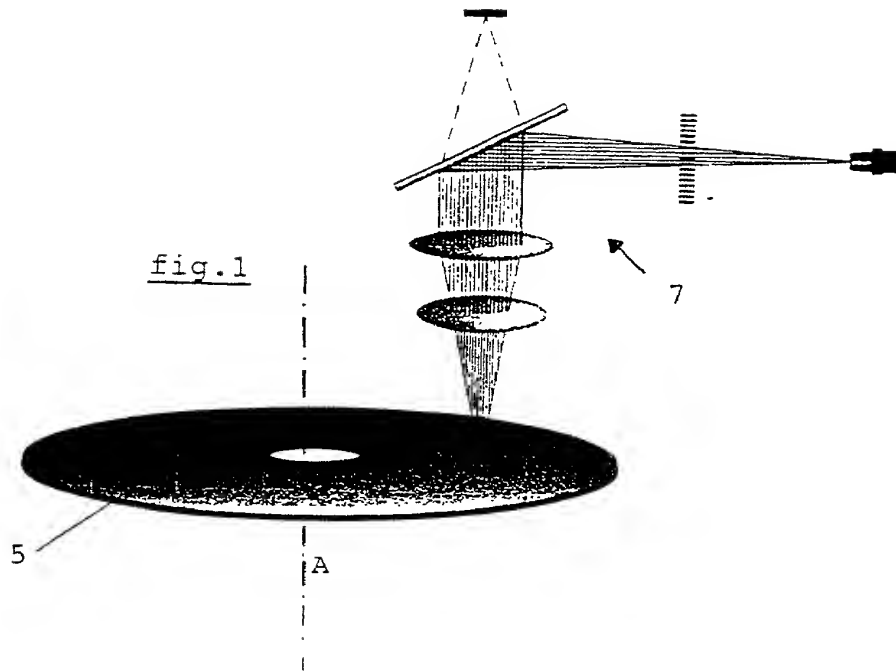


FIG.2

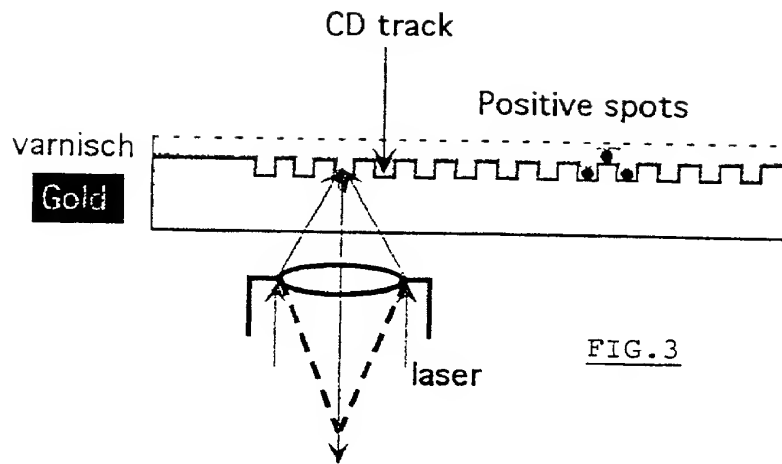


FIG. 3

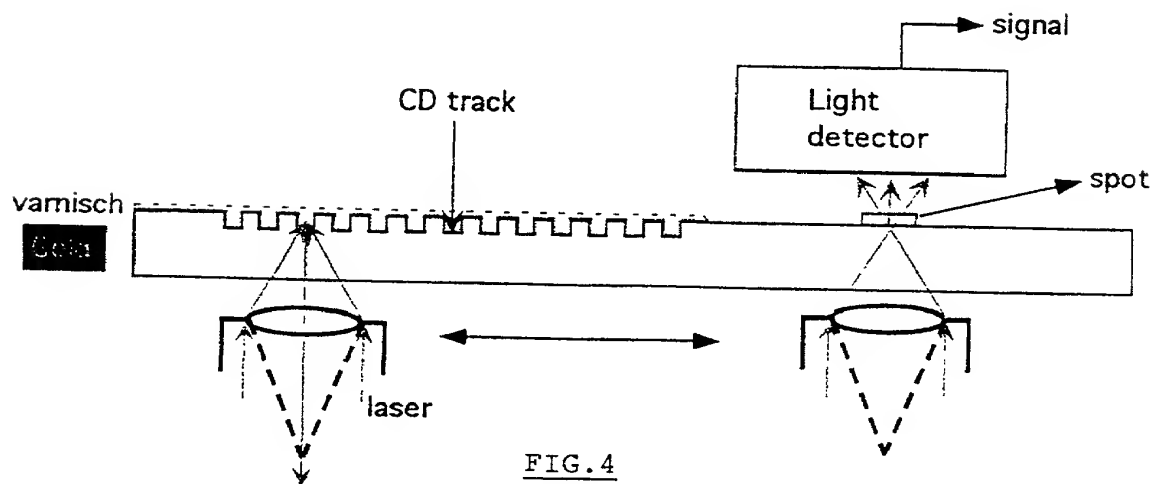


FIG. 4

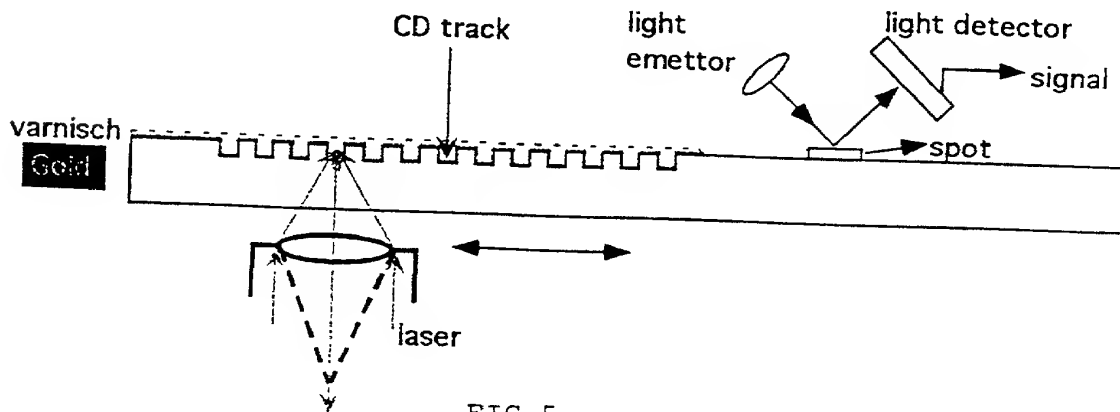


FIG. 5

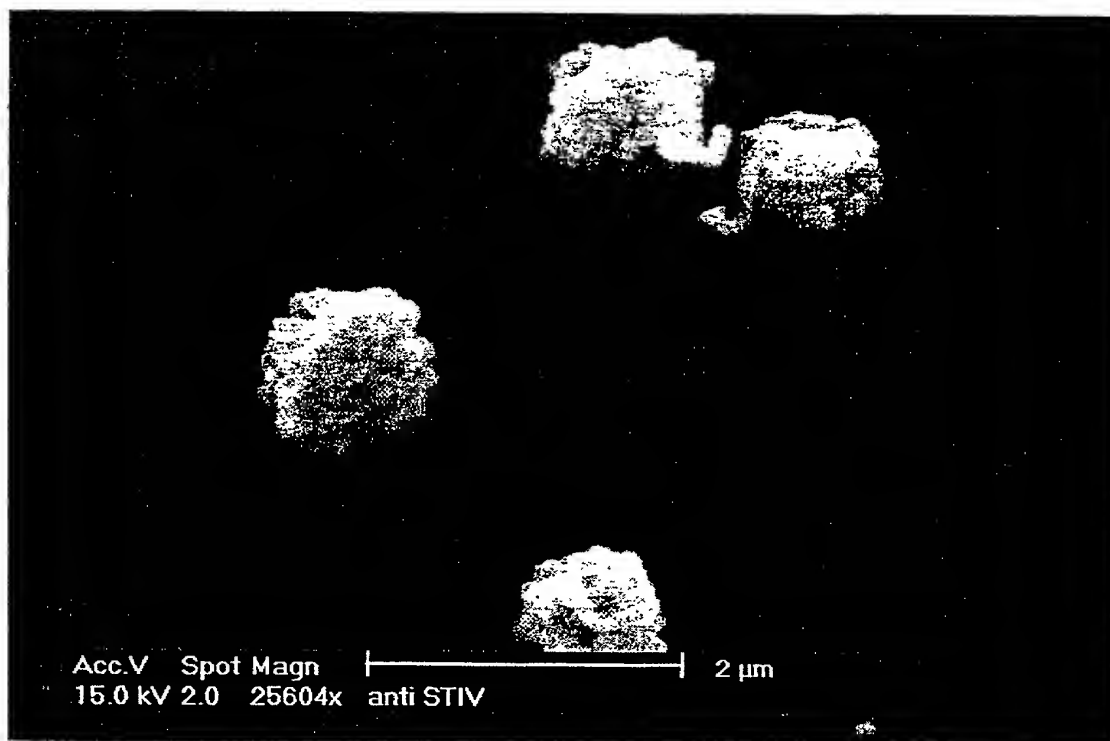


FIG. 6

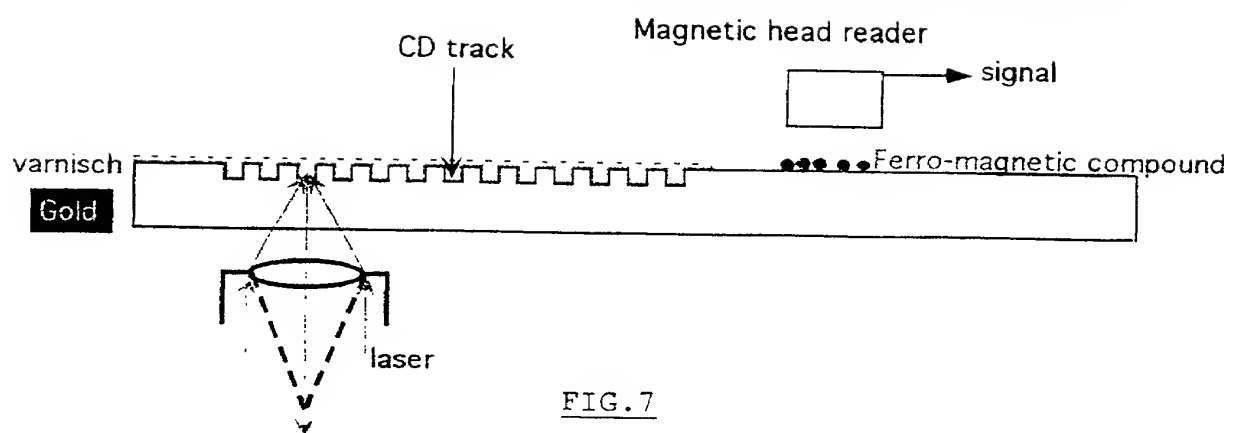


FIG. 7



Applicant or Patentee: José Remacle  
Application or Patent No.: 09/582,817

Filed or Issued: June 30, 2000

For: METHOD COMPRISING CAPTURE MOLECULE FIXED ON DISC SURFACE

Attorney's Docket No.: VANM160.001APC

Page 1

10 Rec'd PCT/PTO 08 NOV 2000

### VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL-ENTITY STATUS

1. I, the undersigned, do hereby declare that:

- a. ☒ I am an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office with regard to the invention described in the patent or application identified above; OR
- b. ☐ While I am not an inventor, I declare that rights under contract or law have been conveyed to and remain with me with regard to the invention described in the patent or application identified above. I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying fees to the United States Patent and Trademark Office if I had made the invention; OR
- c. ☐ I am the owner of the small business concern identified below; OR  
☐ I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS: \_\_\_\_\_

ADDRESS OF SMALL BUSINESS: \_\_\_\_\_

If either of the boxes in item (c) is checked, I further declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.1301 through 121.1305, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I further declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in the patent or application identified above; OR

2. The individual, concern or organization identified above has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).
3. If the rights held by the above-identified individual, concern or organization are not exclusive, each individual, concern or organization having rights in the invention are identified below. Each such individual, concern or organization must file separate verified statements averring to their status as small entities.
4. I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small-entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: José REMACLE

ADDRESS OF PERSON SIGNING: Chemin des Pierres 14, B-5020 Malonne, Belgium

SIGNATURE:   
H:\DOCS\MOH\MOH.5126001.dmr 051600

DATE: 10/10/2000

# Declaration and Power of Attorney for Patent Application

## Déclaration et Pouvoirs pour Demande de Brevet

### French Language Declaration

En tant que l'inventeur nommé ci-après, je déclare par le présent acte que:

Mon domicile, mon adresse postale et ma nationalité sont ceux figurant ci-dessous à côté de mon nom.

Je crois être le premier inventeur original et unique (si un seul nom est mentionné ci-dessous), ou l'un des premiers co-inventeurs originaux (si plusieurs noms sont mentionnés ci-dessous) de l'objet revendiqué, pour lequel une demande de brevet a été déposée concernant l'invention intitulée

\_\_\_\_\_

\_\_\_\_\_

et dont la description est fournie ci-joint à moins que la case suivante n'ait été cochée:

☐ a été déposée le \_\_\_\_\_  
sous le numéro de demande des Etats-Unis ou le  
numéro de demande international PCT  
\_\_\_\_\_ et modifiée le \_\_\_\_\_  
(le cas échéant).

Je déclare par le présent acte avoir passé en revue et compris le contenu de la description ci-dessus, revendications comprises, telles que modifiées par toute modification dont il aura été fait référence ci-dessus.

Je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD COMPRISING CAPTURE  
MOLECULE FIXED ON DISC SURFACE**

the specification of which is attached hereto unless the following box is checked:

☐ was filed on 24.12.98  
as United States Application Number or PCT  
International Application Number  
09/582,817 and was amended on  
June 30, 2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

**French Language Declaration**

Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, § 119(a)-(d) ou § 365(b) du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur ou, en vertu du Titre 35, § 365(a) du même Code, sur toute demande internationale PCT désignant au moins un pays autre que les Etats-Unis et figurant ci-dessous et, en cochant la case, j'ai aussi indiqué ci-dessous toute demande étrangère de brevet, tout certificat d'inventeur ou toute demande internationale PCT ayant une date de dépôt précédant celle de la demande à propos de laquelle une priorité est revendiquée.

Prior foreign application(s)  
Demande(s) de brevet antérieure(s)

(Number) (Numéro)	(Country) (Pays)
<u>60/071.726</u>	<u>US</u>
(Number) (Numéro)	(Country) (Pays)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 119(e) du Code des Etats-Unis, de toute demande de brevet provisoire effectuée aux Etats-Unis et figurant ci-dessous.

(Application No.) (N° de demande)	(Filing Date) (Date de dépôt)
(Application No.) (N° de demande)	(Filing Date) (Date de dépôt)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 120 du Code des Etats-Unis, de toute demande de brevet effectuée aux Etats-Unis, ou en vertu du Titre 35, § 365(c) du même Code, de toute demande internationale PCT désignant les Etats-Unis et figurant ci-dessous et, dans la mesure où l'objet de chacune des revendications de cette demande de brevet n'est pas divulgué dans la demande antérieure américaine ou internationale PCT, en vertu des dispositions du premier paragraphe du Titre 35, § 112 du Code des Etats-Unis, je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations, dont j'ai pu disposer entre la date de dépôt de la demande antérieure et la date de dépôt de la demande nationale ou internationale PCT de la présente demande:

(Application No.) (N° de demande)	(Filing Date) (Date de dépôt)
(Application No.) (N° de demande)	(Filing Date) (Date de dépôt)

Je déclare par le présent acte que toute déclaration ci-incluse est, à ma connaissance, véridique et que toute déclaration formulée à partir de renseignements ou de suppositions est tenue pour véridique; et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la Section 1001 du Titre 18 du Code des Etats-Unis, et que de telles déclarations volontairement fausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-ci.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Claimed  
Droit de priorité revendiqué

(Day/Month/Year Filed) (Jour/Mois/Année de dépôt)	<input type="checkbox"/>
<u>30.12.97</u>	<input checked="" type="checkbox"/>
(Day/Month/Year Filed) (Jour/Mois/Année de dépôt)	

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Status) (patented, pending, abandoned) (Statut) (breveté, en cours d'examen, abandonné)
(Status) (patented, pending, abandoned) (Statut) (breveté, en cours d'examen, abandonné)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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**French Language Declaration**

**POUVOIRS:** En tant que l'inventeur cité, je désigne par la présente l'(les) avocat(s) et/ou agent(s) suivant(s) pour qu'ils poursuive(nt) la procédure de cette demande de brevet et traite(nt) toute affaire s'y rapportant avec l'Office des brevets et des marques: (mentionner le nom et le numéro d'enregistrement).

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

**KNOBBE, MARTENS, OLSON & BEAR, LLP**  
Customer No. 20,995

Adresser toute correspondance à:

Send Correspondence to: **Daniel E. Altman**  
**620 Newport Center Drive, Suite 1600**  
**Newport Beach, CA 92660**

Adresser tout appel téléphonique à:  
(nom et numéro de téléphone)

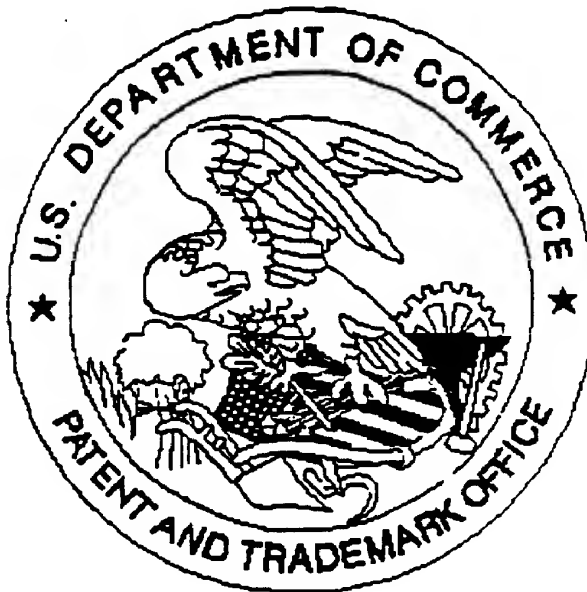
Direct Telephone Calls to:  
(name and telephone number)  
**Daniel E. Altman**  
**(949) 721-2875**

Nom complet de l'unique ou premier inventeur	Full name of sole or first inventor
<u>100</u>	<u>REMACLE José</u>
Signature de l'inventeur	Inventor's signature
Date	Date <u>10/10/2000</u>
Domicile	Residence
	<u>Chemin des Pierres 14</u> <u>B-5020 MALONNE</u> <u>BELGIUM</u> <u>BEX</u>
Nationalité	Citizenship
	<u>Belgian</u>
Adresse postale	Post Office Address
	<u>Chemin des Pierres 14</u> <u>B-5020 MALONNE</u> <u>BELGIUM</u>
Nom complet du second co-inventeur, le cas échéant	Full name of second joint inventor, if any
Signature du second inventeur	Second Inventor's signature
Date	Date
Domicile	Residence
Nationalité	Citizenship
Adresse postale	Post Office Address

(Fournir les mêmes renseignements et la signature de tout co-inventeur supplémentaire.)

(Supply similar information and signature for third and subsequent joint inventors.)

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